



## 5 Declaration and Authorisation

### I declare that:

- All investment subscriptions made now and in the future belong to me. (Delete if you will not be subscribing to this ISA).
- I am aged 18 years or over.
- I have not subscribed and will not subscribe to more than my overall subscription allowance in total to a cash ISA and a stocks and shares ISA in the same tax year.
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA. (Delete if you will not be subscribing to this ISA).
- This application is to transfer my existing ISA and, if applicable, entitles me to subscribe to a stocks and shares ISA in the current tax year and each subsequent year until further notice. I understand that this does not mean that I am obliged to invest with Cofunds in the following or future tax years. However, if I wish to do so, I may not be required to complete a further application form.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Cofunds Limited if I cease to be so resident and ordinarily resident or to perform such duties, or be married to or in a civil partnership with a person who performs such duties. (Delete if you will not be subscribing to this ISA).

### I authorise Cofunds Limited to:

- Hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- Make on my behalf any claims to relief from tax in respect of my ISA investments.

### I confirm that:

- I agree to be bound by:
  - Terms and Conditions of the Cofunds Platform, including the Cofunds Investment ISA Terms and Conditions
- My signed application form (provided that my application is accepted by Cofunds), together with the Terms and conditions, constitute my customer agreement with Cofunds Limited. I understand that instructions may be delayed or rejected if this application form is not complete in all respects. You may undertake a search with a reference agency for the purposes of verifying my identity. To do so, the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access.

They may also use my details in the future to assist other companies for verification purposes. A record of the search will be retained as an identity search. I declare that the information contained in this application form is correct to the best of my knowledge and belief.

Signature

X

Date

### Data Protection

Cofunds Limited will use your information for the administration and servicing of your investments and other related activities. We may disclose your information to our agents and service providers for these purposes. We may also disclose your information to organisations for compliance with legal and regulatory requirements.

With the exception of the preceding provisions, we will not pass on your information to any other third party without your permission, but we will disclose information concerning your investment to your Nominated Adviser.

Cofunds may transfer your information to countries outside of the EEA for the servicing of your investments. In such cases, contracts will be put in place to ensure that the service providers protect your information in accordance with the requirements of the Data Protection Act.

### Email address and telephone number

If you provide your email address and telephone number on this form, Chartwell will keep a record of it. Chartwell may use it to contact you occasionally about products and services, which may be of interest to you. However, if you prefer not to receive such information, you may withdraw your consent by contacting Chartwell on 01225 823915. Please remember that by withdrawing your consent, Chartwell will not be able to contact you about products or services that may be of interest to you.

If you require a fund prospectus, please contact your adviser or Fund Manager directly.

If you wish to attend/vote at unit holder or shareholder meetings, please tick this box

If you wish to receive reports and accounts, you can download them free of charge at [https://investors.cofunds.co.uk/Investors/Reports\\_and\\_Accounts\\_Investors.aspx](https://investors.cofunds.co.uk/Investors/Reports_and_Accounts_Investors.aspx). If you wish to receive paper copies of reports and accounts please speak to your adviser.

You can also request to receive paper copies of reports and accounts by writing to us at Cofunds Limited, PO Box 1103, Chelmsford CM99 2XY.

We will apply a £20 administration charge per fund for each request to attend/vote at unit holder and shareholder meetings, and for each request to receive paper copies.

### Number of attached Transfer Authority Forms

Issued and approved by Cofunds Limited,  
1st Floor, 1 Minster Court, Mincing Lane, London EC3R 7AA.  
Registered in England and Wales No. 3965289.  
Authorised and regulated by the Financial Services Authority (FSA) under FSA Registration No. 194734.

CO 289/CWD002 02/11

# ISA Transfer Authority



This transfer authority should only be used for either the transfer of a stocks and shares ISA or a cash ISA into a Cofunds stocks and shares ISA. Please note that a separate authority will be required for each Plan/Account Manager. If transferring from more than one Plan/Account Manager, please request more Transfer Authority Forms from your adviser. Please ensure that you have signed both the Transfer Application Form and the Transfer Authority Form.

Existing Client reference

## Please complete all details requested

Name of Plan/Account Manager (from whom you wish to transfer)
Address
Postcode

I hereby instruct my current ISA Manager to either transfer my holdings to Cofunds Nominees Limited or liquidate the assets within my ISA with immediate effect, and forward the proceeds as specified below to my new Plan/Account Manager at **Cofunds Limited, PO Box 1103, Chelmsford CM99 2XY. This transfer should include, where relevant, all former ISA and PEP investments.** I confirm that the re-registration of the funds listed will not change the beneficial ownership from the current holder. I confirm that this transaction is exempt from SDRT by virtue of paragraph 6 of Schedule 19 of the Finance Act 1999.

Mr/Mrs/Ms/Miss/Other	
Surname	
Full First Name(s)	
Signature	Date

## 1 Funds that you wish to KEEP via re-registration (stock transfer)

Please list all funds that you hold with the above Plan/Account Manager that you wish to retain when you transfer your investment to Cofunds. Please tick if this fund is part of your current year subscription (✓)

Fund Manager and Fund Name	A/C or Plan Nos. (This must be completed)	Type of Unit/Share (delete as appropriate)*	Please tick if this fund is part of your current year subscription (✓)
		ACC/INC	
		ACC/INC	
		ACC/INC	
		ACC/INC	
		ACC/INC	

\*If you do not specify ACC or INC in this column, Cofunds will not be able to process your application. If you have chosen income units/shares, please ensure you complete section 4 of the Investment ISA (stocks and shares) Transfer Application to have income paid to you.

## 2 Funds that you wish to SELL (cash transfer)

Please list all funds that you hold with the above Plan/Account Manager that you wish to sell and transfer the proceeds to Cofunds. Please also complete Section 3 (if applicable) and Section 4 to tell us which funds you wish to reinvest into. Please ensure the funds you choose are available through Cofunds. Please tick if this fund is part of your current year subscription (✓)

Fund Manager and Fund Name	A/C or Plan Nos. (This must be completed)	Please tick if this fund is part of your current year subscription (✓)

## 3 Cash ISA Transfer

If applicable, please indicate either of the following to be transferred into your Cofunds stocks and shares ISA:

All my cash ISA  **OR** An amount of my cash ISA £  . Sort Code  -  -

Is there any notice period for you to transfer your cash ISA?  Days A/C or Plan Nos. (This must be completed)

## 4 Transfer Investment Choices (please refer to the fund charge schedule and complete in full)

I wish to transfer the proceeds of any investments sold, outlined in Section 2 and/or 3 into the following investments: Please ensure the funds are available through Cofunds. Existing Cofunds Client ref

Invest in one of the following portfolios

Either	High Income – Income units	Tick one option only (✓)	Commission WAIVE All (✓)
Or	Income & Growth – Income units		✓
Or	Growth – Accumulation units		✓
Or	Adventurous Growth – Accumulation units		✓

Or create your own portfolio

Fund Manager and Fund Name	Type of Unit/Share (delete as appropriate)*	Transfer %	Commission WAIVE All (✓)
	ACC/INC		✓
	ACC/INC		✓
	ACC/INC		✓
Cash Reserve (if required)†			

† Monies may be held for short periods in the Cash Reserve. Such holdings are deemed 'destined for investment'.  
\*If you do not specify ACC or INC in this column, and have not completed Section 4 of the Investment ISA (stocks and shares) Transfer Application, Cofunds will invest into accumulation units/shares where applicable.